Serial No. 09/657,632 Docket No. RD-27.672

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 2 7 2002

Applicant: CATHERINE M. GRAICHEN, ET AIP

Serial No.: 09/657,632

Filed: September 6, 2000

FOR SYSTEM AND METHOD FOR PROVIDENCE EFFICIENCY AND COST ANALYSIS DURING

STEAM PATH AUDITS

Group Art Unit: 2161

Office Action] no charge

Examiner:

PECE VED TOCHOLOGY CONTROL 2000

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**Technology Center 2100** 

INFORMATION DISCLOSURE STATEMENT

| <b>□</b> ' | Pursuant to 3/CFR 1.9/(b)  |
|------------|--|
|            | [within 3 months of national, non-CPA filling or prior to 1st Of |
| <b>X</b> 2 | Certification Pursuant to 37 CFR 1.97(c)(1)                      |

[before Final Office Action, Allowance, or other action closing prosecution] no charge

Fee Payment Pursuant to 37 CFR 1.97(c)(2) [before Final Office Action, Allowance, or other action closing prosecution] \$180.00

Certification & Fee Payment Pursuant to 37 CFR 1.97(d) [On or before issue fee payment] \$180.00

ATTN: BOX PATENT APPLICATION Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

■ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231\_on the date indicated below.

| This paper is submitted in  | naccordance with:  |  |  |  |
|---|--|--|--|--|
| ☐ 6 37 CF<br>Action   | ` , -  | s of national, non-CPA filing or prior to 1st Office   |  |  |
|   | FR 1.97(c): [before Final Office Action, Allowance, or other action ng prosecution, whichever is earlier]; and   |  |  |  |
| ⊠ 8   | The required Certification m   | nade in item 11 below; or  |  |  |
| 9   |  | in 37 CFR 1.17(p) for submission of Statement is authorized in item 15 below.  |  |  |
| ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and  |  |  |  |  |
| a)  | The required Certification is  | stated in item 11 below; and   |  |  |
| b)  |  | in 37 CFR 1.17(p) for submission of Statement is authorized in item 14 below.  |  |  |
|   | ication  |  |  |  |
| ☒ 12  | any communication from   | ontained in this Statement was first cited in a foreign patent office in a counterpart not more than three months prior to the |  |  |
| 13  | No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement. |  |  |  |
| 🔀 14 Paym   | nent of all applicable fees:   |  |  |  |
| Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868 |  |  |  |  |
| An original and two (2) co  | ppies of this document are er  | nclosed.   |  |  |
|   |  | Respectfully submitted,  |  |  |
| 2/11/   | اما  | Oil Holan  |  |  |
| Date  | )  | Attorney - DAVID C. GOLDMAN  |  |  |
| General Electric Company<br>CRD Patent Docket Rm 4A5<br>P.O. Box 8, Bldg. K-1<br>Schenectady, New York 12<br>Customer Number: 006147    | 301  | Registration No. 34,336<br>Phone: (518) 387-5927<br>or (518) 387-7122  |  |  |